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# Office of Sponsored Programs and Foundation Relations

**NIH PRINCIPAL INVESTIGATOR ASSURANCE CERTIFICATION**

# *Created: 2014; Reviewed: September 2021*

# *Next Review Fall 2023*

Effective May 10, 2006, the National Institutes of Health (NIH) requires that the applicant organization secure and retain a written assurance from the Principal Investigator (PI) or Project Director (PD) prior to submitting an application, a progress report, or a prior approval request. NIH also requires that when multiple PD/PIs are proposed in an application, this assurance must be signed by and retained for all named PD/PIs. Policy can be viewed [here](https://grants.nih.gov/grants/guide/notice-files/NOT-OD-06-054.html).

Multiple copies of this form may be submitted to the Office of Sponsored Programs and Foundation Relations if necessary.

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| Principal Investigator (PI) or Project Director (PD): | Department/School: |
| Email: | Phone: |
| Co-PI/PD: | |
| Project/Grant Title: | |
| Agency/Sponsor Name: | |
| **Check one:** Application Annual Progress Report Prior Approval Request | |
| NIH Award Number: | |

**By signing below, I certify:**

(1) that the information submitted within the application is true, complete and accurate to the best of my knowledge;

(2) that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties; and

(3) that I agree to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as result of the application.

**Signature of Principal Investigator \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Additional PD/PI Assurances**

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| **Name of Additional Named PD/PI** | **Signature** | **Date** |
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